# Behavior of Kinematic Invariants of Beams with Large Energy Spread

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# Abstract

The well known kinematic moment invariants, emittance  $\epsilon_N = \det(\Sigma)$  and  $I_N = -0.5 \operatorname{Tr}(J\Sigma J\Sigma)$  [1] in linear beam dynamics are computed using ICOOL [2] for a simple drift problem. We show that they are not constant for a non-paraxial beam with significant energy spread (> 5%). This fact may have implications for the MICE experiment.

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#### I. INTRODUCTION: THE PROBLEM

All those running the code ICOOL have noticed *peculiar* behavior of the transverse emittance ( $\epsilon_T$ ) in several problems. Most recently in a study of the MICE cooling channel, Bob Palmer pointed out that the emittance, in the region of no-absorbers, should have been constant; however, the ICOOL runs show a decrease between the first and second absorber and that the same behavior is shown in an identical channel without absorber and rf.

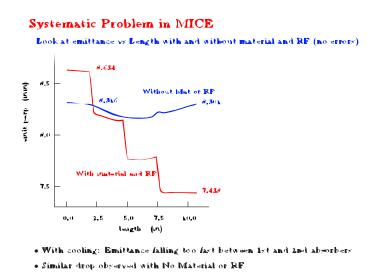


FIG. 1: MICE cooling channel. The transverse emittance does not remains constant in the region between the absorbers, as naively was expected. The superimposed curve depicts the emittance of the channel without material; both curves shows similar behavior. (color)

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Afterward R. Fernow look at an even simpler problem: a plain **DRIFT** and to our surprise  $\epsilon_{\mathbf{T}}, \epsilon_{\mathbf{L}}, \epsilon_{\mathbf{6}}$  changed although one expected that at least  $\epsilon_{\mathbf{6}}$  should remain constant. To be precise, the emittance as calculated by **ECALC9** is not constant.

#### II. THEORY

It is well know that under linear symplectic (canonical, hamiltonian) transformations there are a number of **kinematic moment invariants**. In particular, of interest and

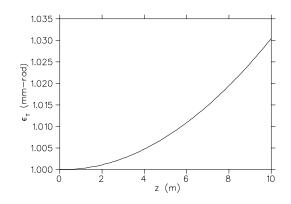


FIG. 2:  $\epsilon_T$  vs. z as calculated by ICOOL and reported by ECALC9 for a 10 m drift for a Gaussian beam. (color)

widely used, the quadratic invariants are:

$$\epsilon_N = \mathbf{det}(\Sigma)$$
 the invariant 6D emittance and (1)

$$I_N = -0.5 \operatorname{Tr}(J\Sigma J\Sigma) \tag{2}$$

This second invariant is not used much in practice, although has been in the literature for many years [1], because it has not been possible to assign a geometric interpretation to it. We only notice that given a  $6 \times 6$  matrix  $\Sigma$  constructed with the second order moments of the beam distribution, we can obtain a number (a scalar) by taking the determinant or the trace of it.

In the equation above J is the unit symplectic matrix

$$J = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} \tag{3}$$

where I is the unit matrix in 3D space. The calculation of  $I_N$  has been implemented in ecalc9f.for

### **III. POSSIBLE SOLUTION**

Subsequently, we learned of the paper: K. Floettmann [3], where in the abstract, the blasphemous statement is made: the [transverse] emittance of a beam is not necessarily constant in a drift space. The author argues that energy spread is responsible for this behavior. The proof given is not complicated but laborious.

The normalized emittance is:  $\epsilon_x^{norm} = \frac{1}{m_o c} \sqrt{\langle x^2 \rangle \langle p_x \rangle^2 - \langle xp_x \rangle^2}$ . In a drift  $x(s) = x(0) + \frac{p_x}{p_s}s$  and  $p_x(s) = p_x(0)$ ; hence

$$\frac{1}{N^2} \sum x(0) \frac{p_x}{p_s} \sum p_x^2 \text{ must be} = \frac{1}{N^2} \sum x(0) p_x \sum \frac{p_x}{p_s} p_x \tag{4}$$

and

$$\frac{1}{N^2} \sum \left(\frac{p_x}{p_s}\right)^2 \sum p_x^2 \text{ must be} = \frac{1}{N^2} \left(\sum \frac{p_x}{p_s} p_x\right)^2.$$
(5)

which is true only if the energy spread is very small.

This we may accept because one argues that  $\epsilon_6$  is the real constant of motion and  $\epsilon_T$  may change as long as  $\epsilon_L$  changes too to keep  $\epsilon_6$  constant.

So let us see what ECALC9 is saying about  $\epsilon_6$  in the drift example:

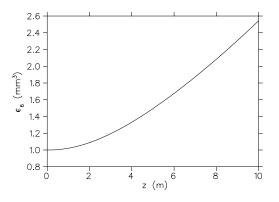


FIG. 3:  $\epsilon_6$  vs. z as calculated by ICOOL and reported by ECALC9 for a 10 m drift for a Gaussian beam.(color)

As a function of the energy spread

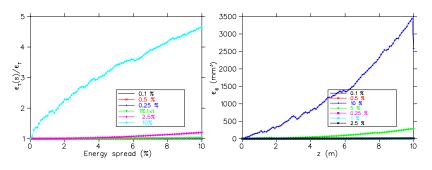


FIG. 4:  $\epsilon_T$  and  $\epsilon_6$  vs. z as calculated by ICOOL and reported by ECALC9 for a 10 m drift for a Gaussian beam; the different lines refers to different energy spreads. (color)

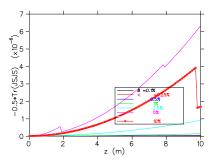


FIG. 5: I invariant vs. z as calculated by ICOOL and reported by ECALC9 for a 10 m drift for a Gaussian beam. (color)

## IV. CONCLUSION

As was demonstrated by J.S. Berg [4] the seemingly simple **DRIFT** problem reveals its true non-linear features when we have a non-paraxial beam with substantial energy spread.

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